

# QQ-systems to solve Bethe Ansatz

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[1910.07805](#)

related work: Granet, Jacobsen

[1910.07797](#)

# QQ-system

periodic XXX spin chain



boundary XXX spin chain



periodic XXZ spin chain



boundary XXZ spin chain



# Periodic XXX spin chain: definition

spectral problem  $H = \sum_{k=1}^N \vec{\sigma}_k \cdot \vec{\sigma}_{k+1}, \quad \vec{\sigma}_{N+1} \equiv \vec{\sigma}_1.$

algebraic BA  $\mathbb{R}(u) = (u - \frac{i}{2})\mathbb{I} + i\mathbb{P} \quad \mathbb{M}_0(u) = \mathbb{R}_{01}(u) \mathbb{R}_{02}(u) \dots \mathbb{R}_{0N}(u) \quad \mathbb{M}_0 = \begin{pmatrix} \mathbb{A}(u) & \mathbb{B}(u) \\ \mathbb{C}(u) & \mathbb{D}(u) \end{pmatrix}$

transfer matrix  $\mathbb{T}(u) = \text{tr}_0(\mathbb{M}_0(u)) \quad [\mathbb{T}(u), \mathbb{T}(v)] = 0$

eigenvectors  $\mathbb{B}(u_1) \dots \mathbb{B}(u_M) |0\rangle \equiv |u_1, \dots, u_M\rangle \quad \mathbb{T}(u) |u_1, \dots, u_M\rangle = T(u) |u_1, \dots, u_M\rangle \quad |0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}^{\otimes N}$

TQ equation  $T(u) Q(u) = (u + i/2)^N Q(u - i) + (u - i/2)^N Q(u + i) \quad Q(u) = \prod_{j=1}^M (u - u_j)$

Bethe ansatz  $\left( \frac{u_j + \frac{i}{2}}{u_j - \frac{i}{2}} \right)^N = - \prod_{k=1}^M \frac{u_j - u_k + i}{u_j - u_k - i}, \quad j = 1 \dots M$

# periodic XXX spin chain: Bethe ansatz solutions

Bethe ansatz 
$$\left( \frac{u_j + \frac{i}{2}}{u_j - \frac{i}{2}} \right)^N = - \prod_{k=1}^M \frac{u_j - u_k + i}{u_j - u_k - i}, \quad j = 1 \dots M \longrightarrow \{u_1, \dots, u_M\}$$

Physical solution:  $\mathbb{B}(u_1) \dots \mathbb{B}(u_M) |0\rangle \equiv |u_1, \dots, u_M\rangle$  is an eigenvector of  $\mathbb{T}(u)$

admissible solution: all roots are finite, pairwise distinct and if the solution is singular  $\left\{ \frac{i}{2}, -\frac{i}{2}, u_1, \dots, u_{M-2} \right\}$

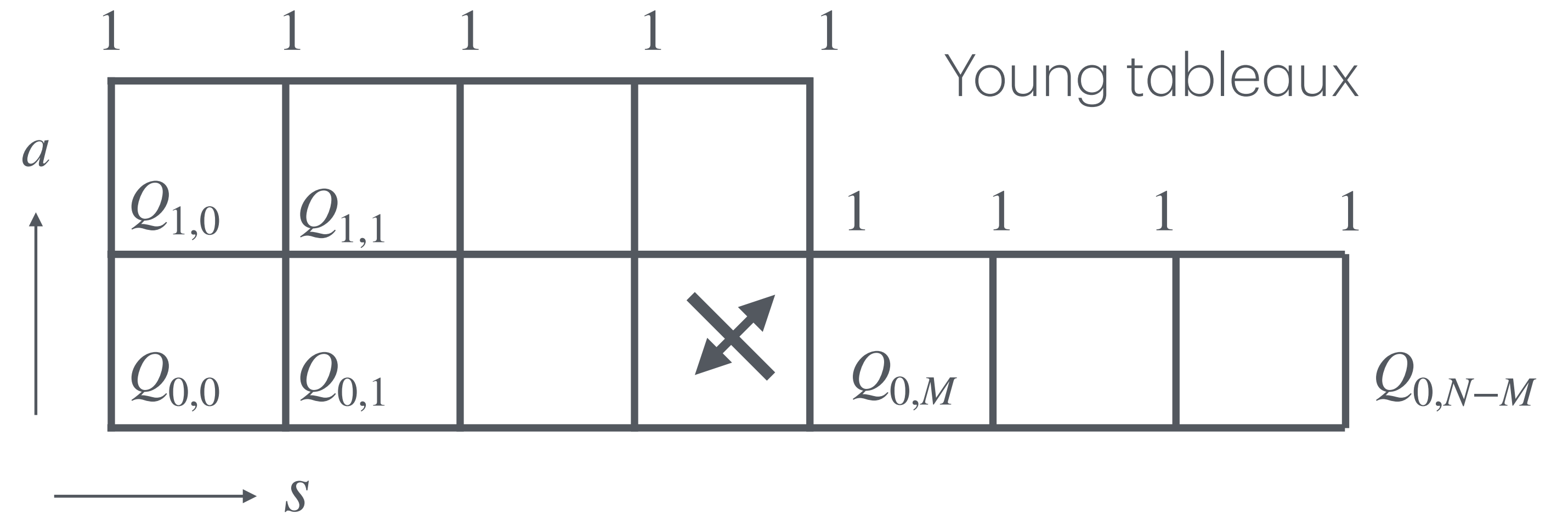
$$\prod_{j=1}^{M-2} \frac{(u_j + \frac{i}{2})(u_j + \frac{3i}{2})}{(u_j - \frac{i}{2})(u_j - \frac{3i}{2})} = (-1)^N \quad \text{is satisfied}$$

Admissible = physical 
$$\mathcal{N}(N, M) = \binom{N}{M} - \binom{N}{M-1}$$

# periodic XXX spin chain: QQ-system

Marboe, Volin [17]

Focus on an M magnon state



QQ-equations

$$Q_{a+1,s}(u) Q_{a,s+1}(u) \propto Q_{a+1,s+1}^+(u) Q_{a,s}^-(u) - Q_{a+1,s+1}^-(u) Q_{a,s}^+(u) \quad f^\pm(u) = f(u \pm \frac{i}{2})$$

$$Q_{0,0}(u) = u^N, \quad Q_{1,0}(u) = Q(u) = \prod_{j=1}^M (u - u_j)$$

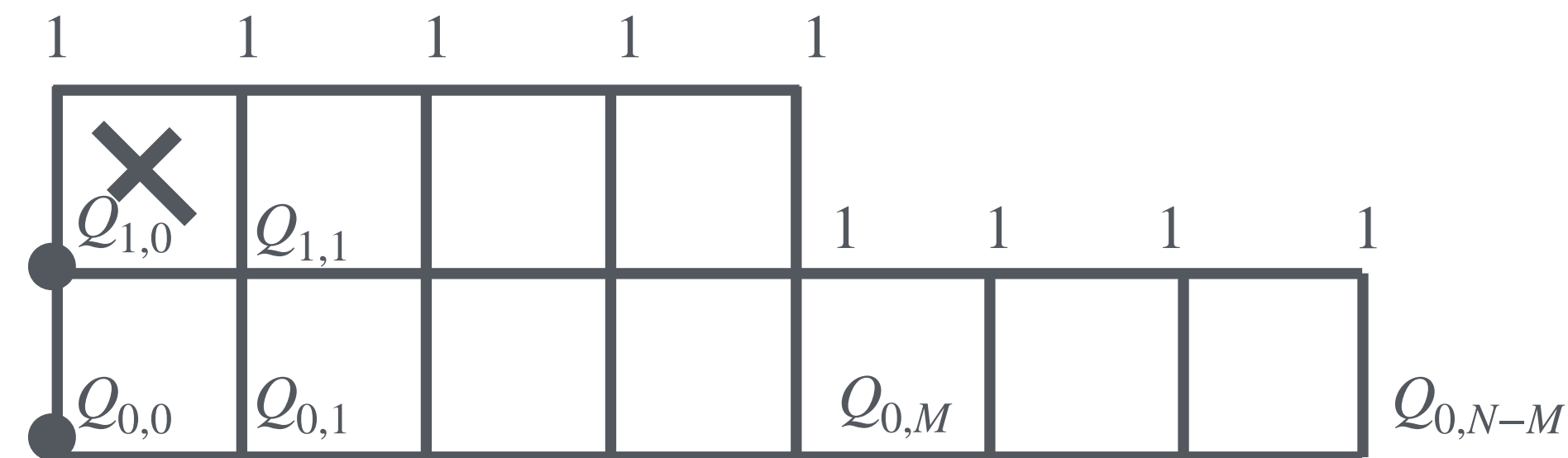
unique solution with  $\deg(Q_{a,s})$

number of boxes to the right and top

polynomial solutions: admissible Bethe roots

# periodic XXX spin chain: solving the QQ-system

$$Q_{a+1,s}(u) Q_{a,s+1}(u) \propto Q_{a+1,s+1}^+(u) Q_{a,s}^-(u) - Q_{a+1,s+1}^-(u) Q_{a,s}^+(u)$$



$$(a, s) = (1, 0) \quad Q_{1,1}(u) = Q_{1,0}^+(u) - Q_{1,0}^-(u) \equiv Q'_{1,0}(u) = Q'(u) \quad f'(u) = f^+(u) - f^-(u)$$

$$(a, s) = (0, 0) \quad Q_{0,1} Q_{1,0} = Q_{0,0}^- Q_{1,1}^+ - Q_{0,0}^+ Q_{1,1}^- \quad \curvearrowright \quad Q_{0,1} Q = Q_{0,0}^- Q^{++} + Q_{0,0}^+ Q^{--} - Q(Q_{0,0}^- + Q_{0,0}^+)$$

$$(Q_{0,1} + Q_{0,0}^- + Q_{0,0}^+) Q = Q_{0,0}^- Q^{++} + Q_{0,0}^+ Q^{--}$$

$$T = Q_{0,1} + Q_{0,0}^- + Q_{0,0}^+$$

Polynomiality of  $Q_{0,1}$  is equivalent to the polynomiality of  $T$ : Bethe ansatz equations

Polynomiality of all  $Q_{a,s}$  is equivalent to the admissibility of the Bethe roots

# periodic XXX spin chain: the P-function

Pronko, Stroganov [99]

Define  $P$  such that  $Q_{0,0} = P^+ Q^- - P^- Q^+$  then

$$Q_{1,1} = Q' \quad Q_{0,1} \propto P'^+ Q'^- - P'^- Q'^+$$

$$Q_{1,2} = Q'', \quad Q_{0,2} \propto P''^+ Q''^- - P''^- Q''^+$$

$$Q_{1,n} = Q^{(n)}, \quad Q_{0,n} \propto P^{(n)+} Q^{(n)-} - P^{(n)-} Q^{(n)+}$$

we need to integrate  $R = \frac{P^+}{Q^+} - \frac{P^-}{Q^-} = \left( \frac{P}{Q} \right)'$

$$R(u) = \frac{u^N}{Q^+ Q^-} = \frac{u^{N-2}}{u^{++} u^{--} \bar{Q}^+ \bar{Q}^-} \quad Q(u) = u^+ u^- \bar{Q}(u), \quad \bar{Q}(u) = \prod_{u_j \neq \pm \frac{i}{2}} (u - u_j)$$

$$R = r + \frac{q_+}{\bar{Q}^+} + \frac{q_-}{\bar{Q}^-} + \frac{a_+}{u^{++}} + \frac{a_-}{u^{--}} \quad a_{\pm} = \mp \frac{T(\mp \frac{i}{2})}{2i \bar{Q}(\pm \frac{i}{2}) \bar{Q}(\mp \frac{3i}{2})} \quad q_+ = q^+, \quad q_- = -q^-$$

integrate  $r = \rho' = \rho^+ - \rho^- \quad p'(u) = \frac{1}{u}, \quad p(u) = -i\psi(-iu + \frac{1}{2})$

$$P = \rho Q + u^+ u^- q + (a_+ - a_-) u \bar{Q} + \frac{1}{2} (a_+ + a_-) (p^{++} + p^{--}) Q$$

polynomiality  $a_+ = -a_-$

$$(-1)^M \frac{\bar{Q}(+\frac{i}{2}) \bar{Q}(+\frac{3i}{2})}{\bar{Q}(-\frac{i}{2}) \bar{Q}(-\frac{3i}{2})} = 1$$

$P$  function satisfies  $T = P^{++} Q^{--} - P^{--} Q^{++}$

# periodic XXX spin chain: numerical solution of QQ

mathematica code to solve QQ-system

$$Q[0,0,u_] := u^L$$

$$Q[1,0,u_] := \text{Sum}[c[k]u^k, \{k,0,M-1\}] + u^M$$

$$Q[1,n_,u_] := Q[1,n-1,u+I/2] - Q[1,n-1,u-I/2];$$

$$Q[0,n_,u_] := (Q[1,n,u+I/2]Q[0,n-1,u-I/2] - Q[1,n,u-I/2]Q[0,n-1,u+I/2])/Q[1,n-1,u]$$

$$y[n_,u_] := \text{PolynomialRemainder}[\text{Numerator}[\text{Together}[Q[0,n,u]]], \text{Denominator}[\text{Together}[Q[0,n,u]]], u]$$

example  $N = L = 6$   $M = 2$

$sol = \text{Solve}[\text{Table}[\text{CoefficientList}[y[n,u],u] == 0, \{n,1,M\}], \text{Table}[c[k], \{k,0,M-1\}]]$  9 solutions

$$\left\{ c^{(0)} \rightarrow \frac{1}{4}, c^{(1)} \rightarrow 0 \right\}, \left\{ c^{(0)} \rightarrow \frac{1}{20}(-5 - 2\sqrt{5}), c^{(1)} \rightarrow 0 \right\}, \left\{ c^{(0)} \rightarrow \frac{1}{20}(2\sqrt{5} - 5), c^{(1)} \rightarrow 0 \right\}, \left\{ c^{(0)} \rightarrow -\frac{1}{8}, c^{(1)} \rightarrow -\frac{\sqrt{3}}{4} \right\}, \left\{ c^{(0)} \rightarrow -\frac{1}{8}, c^{(1)} \rightarrow \frac{\sqrt{3}}{4} \right\}, \left\{ c^{(0)} \rightarrow \frac{1}{16}(5 - \sqrt{17}), c^{(1)} \rightarrow -\frac{1}{4}\sqrt{\frac{3}{2}(9 - \sqrt{17})} \right\}$$

$$, \left\{ c^{(0)} \rightarrow \frac{1}{16}(5 + \sqrt{17}), c^{(1)} \rightarrow \frac{1}{4}\sqrt{\frac{3}{2}(9 + \sqrt{17})} \right\}, \left\{ c^{(0)} \rightarrow \frac{1}{16}(5 - \sqrt{17}), c^{(1)} \rightarrow -\frac{1}{4}\sqrt{\frac{3}{2}(9 - \sqrt{17})} \right\}, \left\{ c^{(0)} \rightarrow \frac{1}{16}(5 + \sqrt{17}), c^{(1)} \rightarrow \frac{1}{4}\sqrt{\frac{3}{2}(9 + \sqrt{17})} \right\}$$

$\text{Solve}[(Q[1,0,u]/.sol[[1]]) == 0,u]//\text{Flatten}$   $\left\{ u \rightarrow -\frac{i}{2}, u \rightarrow \frac{i}{2} \right\}$  Bethe roots from  $Q_{1,0}$



# QQ-system

periodic XXX spin chain



boundary XXX spin chain



periodic XXZ spin chain



boundary XXZ spin chain



# Periodic XXZ spin chain: definition

spectral problem

$$H = \sum_{k=1}^N \left[ \sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \frac{1}{2}(q + q^{-1}) \sigma_k^z \sigma_{k+1}^z \right], \quad \vec{\sigma}_{N+1} \equiv \vec{\sigma}_1 \quad q = e^\eta$$

transfer matrix

$$\mathbb{R}(u) = \begin{pmatrix} \sinh(u + \frac{\eta}{2}) & 0 & 0 & 0 \\ 0 & \sinh(u - \frac{\eta}{2}) & \sinh(\eta) & 0 \\ 0 & \sinh(\eta) & \sinh(u - \frac{\eta}{2}) & 0 \\ 0 & 0 & 0 & \sinh(u + \frac{\eta}{2}) \end{pmatrix} \quad \mathbb{M}_0(u) = \mathbb{R}_{01}(u) \mathbb{R}_{02}(u) \dots \mathbb{R}_{0N}(u)$$

$$\mathbb{M}_0 = \begin{pmatrix} \mathbb{A}(u) & \mathbb{B}(u) \\ \mathbb{C}(u) & \mathbb{D}(u) \end{pmatrix}$$

eigenvectors

$$\mathbb{B}(u_1) \dots \mathbb{B}(u_M) |0\rangle \equiv |u_1, \dots, u_M\rangle \quad \mathbb{T}(u) |u_1, \dots, u_M\rangle = T(u) |u_1, \dots, u_M\rangle \quad |0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}^{\otimes N}$$

TQ equation

$$T(u) Q(u) = \sinh^N(u + \frac{\eta}{2}) Q(u - \eta) + \sinh^N(u - \frac{\eta}{2}) Q(u + \eta) \quad Q(u) = \prod_{j=1}^M \sinh(u - u_j)$$

Bethe ansatz

$$\left( \frac{\sinh(u_k + \frac{\eta}{2})}{\sinh(u_k - \frac{\eta}{2})} \right)^N = - \prod_{j=1}^M \frac{\sinh(u_k - u_j + \eta)}{\sinh(u_k - u_j - \eta)}, \quad k = 1, \dots, M$$

# periodic XXX spin chain: Bethe ansatz solutions

Bethe ansatz  $\left( \frac{\sinh(u_k + \frac{\eta}{2})}{\sinh(u_k - \frac{\eta}{2})} \right)^N = - \prod_{j=1}^M \frac{\sinh(u_k - u_j + \eta)}{\sinh(u_k - u_j - \eta)}, \quad k = 1, \dots, M \quad \longrightarrow \quad \{u_1, \dots, u_M\}$

Physical solution:  $\mathbb{B}(u_1) \dots \mathbb{B}(u_M) |0\rangle \equiv |u_1, \dots, u_M\rangle$  is an eigenvector of  $\mathbb{T}(u)$

admissible solution: all roots are finite, pairwise distinct and if the solution is singular  $\{-\frac{\eta}{2}, \frac{\eta}{2}, u_1, \dots, u_{M-2}\}$

$$\frac{\bar{Q}(+\frac{\eta}{2})\bar{Q}(+\frac{3\eta}{2})}{\bar{Q}(-\frac{\eta}{2})\bar{Q}(-\frac{3\eta}{2})} = (-1)^N \quad \bar{Q}(u) = \prod_{j=1}^{M-2} \sinh(u - u_j) \quad \text{is satisfied}$$

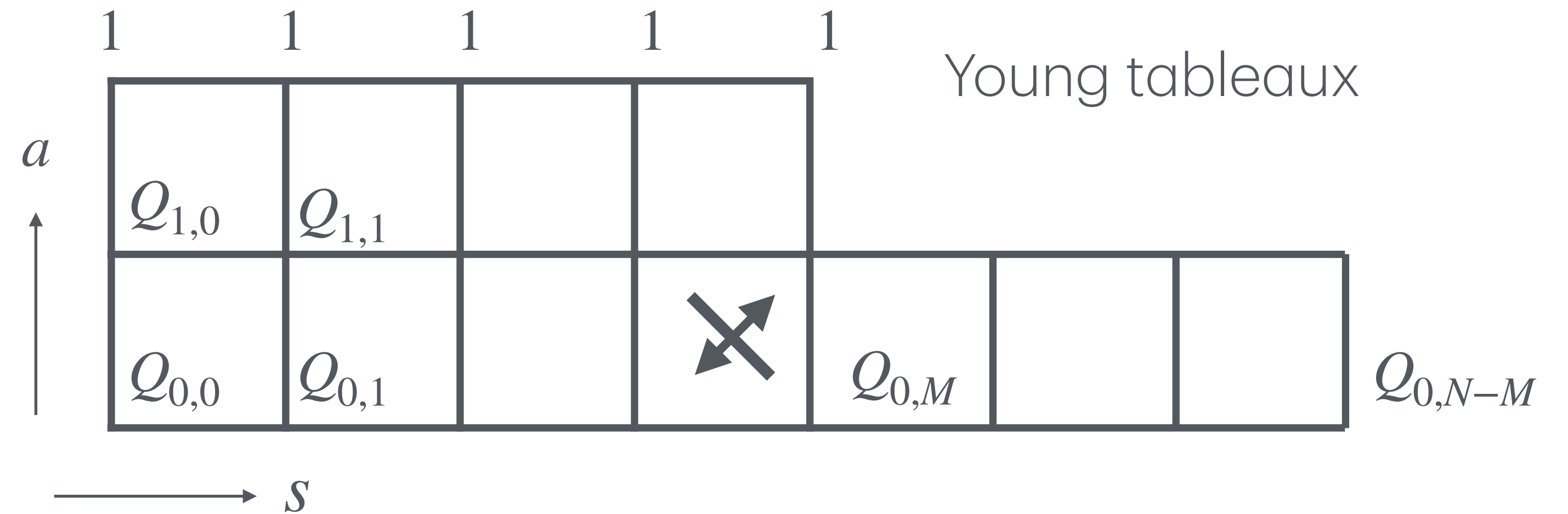
Admissible = physical  $\mathcal{N}(N, M) = \binom{N}{M}$

# periodic XXZ spin chain: QQ-system

Focus on an  $M$  magnon state

$$t = e^u \quad t^{-1} = e^{-u}$$

$$q = e^\eta \quad Q_{a,s}(t, t^{-1}) \equiv Q_{a,s}(t)$$



QQ-equations

$$Q_{a+1,s}(t) Q_{a,s+1}(t) \propto Q_{a+1,s+1}^+(t) Q_{a,s}^-(t) - Q_{a+1,s+1}^-(t) Q_{a,s}^+(t) \quad f^\pm(t) = f(tq^{\pm\frac{1}{2}})$$

$$Q_{0,0}(t) = (t - t^{-1})^N, \quad Q_{1,0}(t) = Q(t) = \prod_{j=1}^M (tt_j^{-1} - t^{-1}t_j)$$

polynomial solutions in  $t$  and  $t^{-1}$ : admissible Bethe roots. proved using  $P(t)$

# QQ-system

periodic XXX spin chain



boundary XXX spin chain



periodic XXZ spin chain



boundary XXZ spin chain



# Open XXX spin chain: definition

spectral problem  $H = \sum_{k=1}^{N-1} \vec{\sigma}_k \cdot \vec{\sigma}_{k+1} \quad \mathbb{K}(u) = \mathbb{I}$

transfer matrix  $\mathbb{T}(u) = \text{tr}_0 \mathbb{U}_0(u), \quad \mathbb{U}_0(u) = \mathbb{M}_0(u) \widehat{\mathbb{M}}_0(u) \quad \widehat{\mathbb{M}}_0(u) = \mathbb{R}_{0N}(u) \cdots \mathbb{R}_{02}(u) \mathbb{R}_{01}(u)$

$[\mathbb{T}(u), \mathbb{T}(v)] = 0 \quad \mathbb{T}(u) = \mathbb{T}(-u) \quad \mathbb{U}_0(u) = \begin{pmatrix} \mathbb{A}(u) & \mathbb{B}(u) \\ \mathbb{C}(u) & \frac{u^-}{u} \mathbb{D}(u) + \frac{i}{2u} \mathbb{A}(u) \end{pmatrix}$

eigenvectors  $\mathbb{B}(u_1) \cdots \mathbb{B}(u_M) |0\rangle \equiv |u_1, \dots, u_M\rangle \quad \mathbb{T}(u) |u_1, \dots, u_M\rangle = T(u) |u_1, \dots, u_M\rangle \quad |0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}^{\otimes N}$

TQ equation  $u T(u) Q(u) = (u^+)^{2N+1} Q^{--}(u) + (u^-)^{2N+1} Q^{++}(u), \quad Q(u) = \prod_{k=1}^M (u - u_k) (u + u_k)$

Bethe ansatz  $\left( \frac{u_j + \frac{i}{2}}{u_j - \frac{i}{2}} \right)^{2N} = \prod_{k:k \neq j}^M \frac{(u_j - u_k + i)(u_j + u_k + i)}{(u_j - u_k - i)(u_j + u_k - i)} \quad j = 1, \dots, M$

# open XXX spin chain: Bethe ansatz solutions

Bethe ansatz  $\left( \frac{u_j + \frac{i}{2}}{u_j - \frac{i}{2}} \right)^{2N} = \prod_{k:k \neq j}^M \frac{(u_j - u_k + i)(u_j + u_k + i)}{(u_j - u_k - i)(u_j + u_k - i)} \quad j = 1, \dots, M \quad \longrightarrow \quad \{u_1, \dots, u_M\}$

Physical solution:  $\mathbb{B}(u_1) \dots \mathbb{B}(u_M) |0\rangle \equiv |u_1, \dots, u_M\rangle$  is an eigenvector of  $\mathbb{T}(u)$

admissible solution: all roots are finite, pairwise distinct, not equal to  $\{0, i/2, -i/2\}$  and satisfies

$$\Re(u_j) > 0$$

or

$$\Re(u_j) = 0 \quad \text{and} \quad \Im(u_j) > 0$$

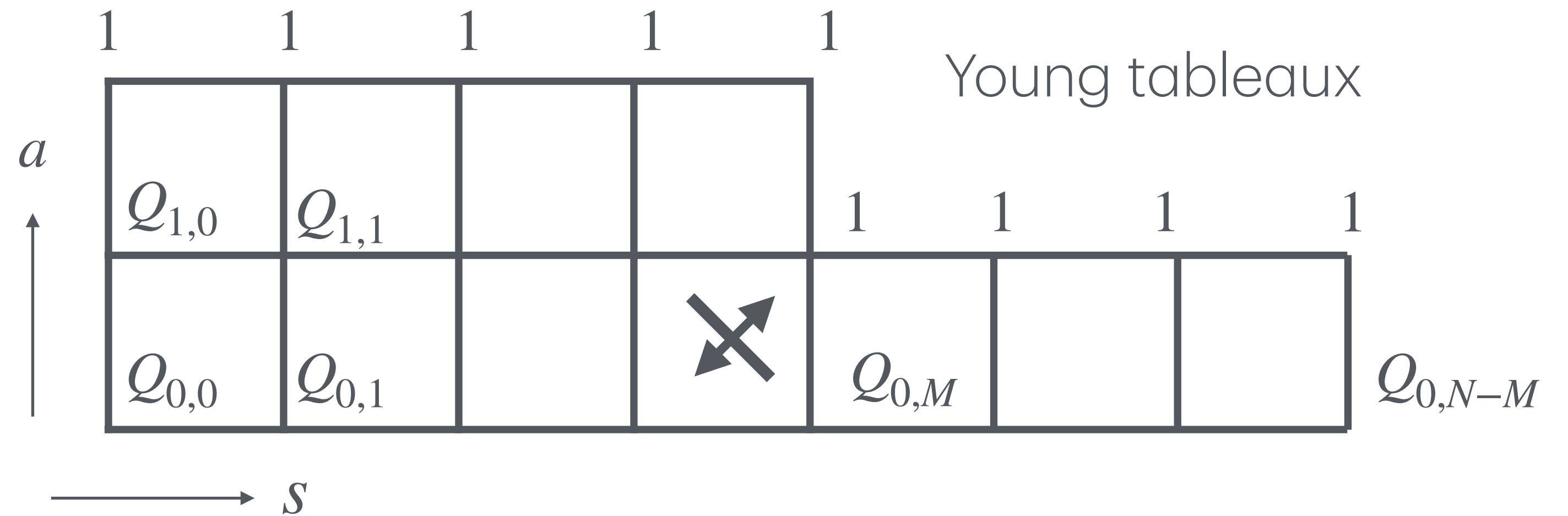
Admissible = physical

$$\mathcal{N}(N, M) = \binom{N}{M} - \binom{N}{M-1}$$

# open XXX spin chain: QQ-system

Focus on an M magnon state

QQ-equations



$$u Q_{a+1,s}(u) Q_{a,s+1}(u) \propto Q_{a+1,s+1}^+(u) Q_{a,s}^-(u) - Q_{a+1,s+1}^-(u) Q_{a,s}^+(u) \quad f^\pm(u) = f(u \pm \frac{i}{2})$$

$$Q_{0,0}(u) = u^{2N} \quad \text{with} \quad Q_{1,0}(u) = Q(u) = \prod_{k=1}^M (u - u_k) (u + u_k)$$

unique solution with  $\deg(Q_{a,s})$  twice the number of boxes to the right and top

polynomial solutions: admissible Bethe roots



# QQ-system

periodic XXX spin chain



boundary XXX spin chain



periodic XXZ spin chain



boundary XXZ spin chain



# Open XXZ spin chain: definition

spectral problem  $H = \sum_{k=1}^{N-1} \left[ \sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \frac{1}{2}(q + q^{-1}) \sigma_k^z \sigma_{k+1}^z \right] - \frac{1}{2}(q - q^{-1})(\sigma_1^z - \sigma_N^z)$

transfer matrix  $\mathbb{K}^L(u) = \text{diag}(e^{-u-\frac{\eta}{2}}, e^{u+\frac{\eta}{2}}), \quad \mathbb{K}^R(u) = \text{diag}(e^{u-\frac{\eta}{2}}, e^{-u+\frac{\eta}{2}}).$

$$\mathbb{T}(u) = \text{tr}_0 \mathbb{K}_0^L(u) \mathbb{U}_0(u)$$

$$\mathbb{U}_0(u) = \mathbb{M}_0(u) \mathbb{K}_0^R(u) \widehat{\mathbb{M}}_0(u)$$

$$\mathbb{U}_0(u) = \begin{pmatrix} e^{u-\frac{\eta}{2}} \mathbb{A}(u) & \mathbb{B}(u) \\ \mathbb{C}(u) & \frac{e^{-u-\frac{\eta}{2}} \sinh(2u-\eta)}{\sinh(2u)} \mathbb{D}(u) + \frac{e^{u-\frac{\eta}{2}} \sinh(\eta)}{\sinh(2u)} \mathbb{A}(u) \end{pmatrix}$$

eigenvectors  $\mathbb{B}(u_1) \dots \mathbb{B}(u_M) |0\rangle \equiv |u_1, \dots, u_M\rangle \quad \mathbb{T}(u) |u_1, \dots, u_M\rangle = T(u) |u_1, \dots, u_M\rangle \quad |0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}^{\otimes N}$

TQ equation  $\sinh(2u) T(u) Q(u) = \sinh(2u + \eta) \sinh^{2N}(u + \frac{\eta}{2}) Q(u - \eta) + \sinh(2u - \eta) \sinh^{2N}(u - \frac{\eta}{2}) Q(u + \eta)$

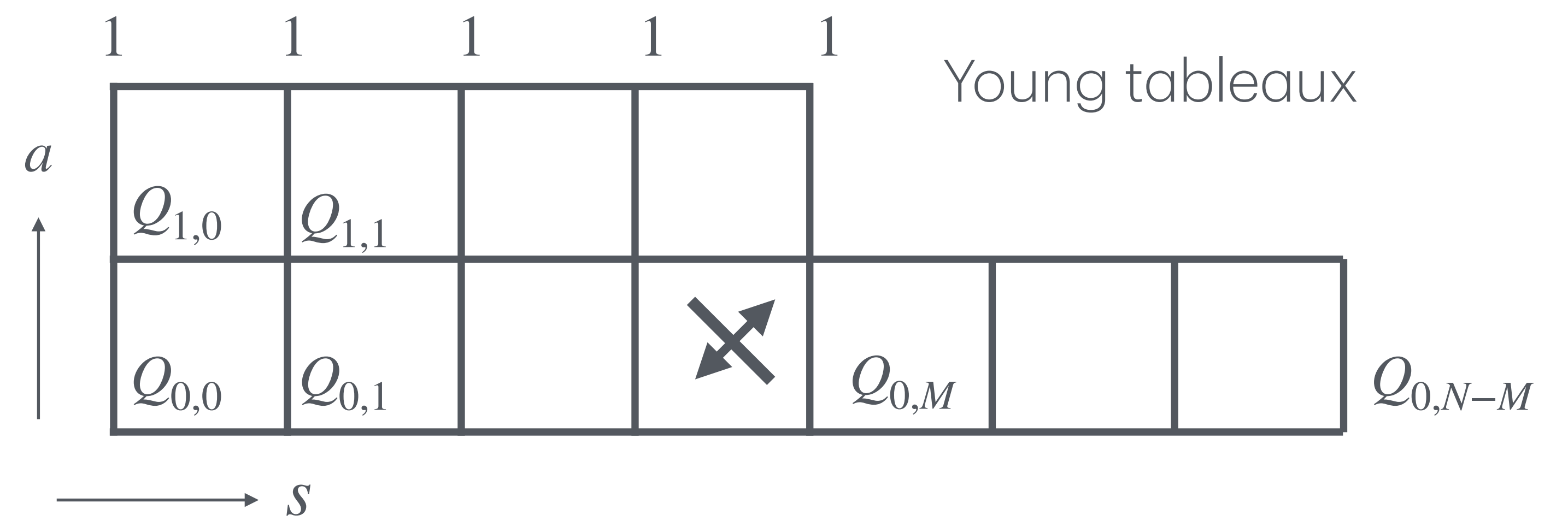
Bethe ansatz  $\left( \frac{\sinh(u_j + \frac{\eta}{2})}{\sinh(u_j - \frac{\eta}{2})} \right)^{2N} = \prod_{\substack{k \neq j \\ k=1}}^M \frac{\sinh(u_j - u_k + \eta) \sinh(u_j + u_k + \eta)}{\sinh(u_j - u_k - \eta) \sinh(u_j + u_k - \eta)}, \quad j = 1, \dots, M$

# open XXZ spin chain: QQ-system

Focus on an M magnon state

$$t = e^u \quad t^{-1} = e^{-u}$$

$$q = e^\eta \quad Q_{a,s}(t, t^{-1}) \equiv Q_{a,s}(t)$$



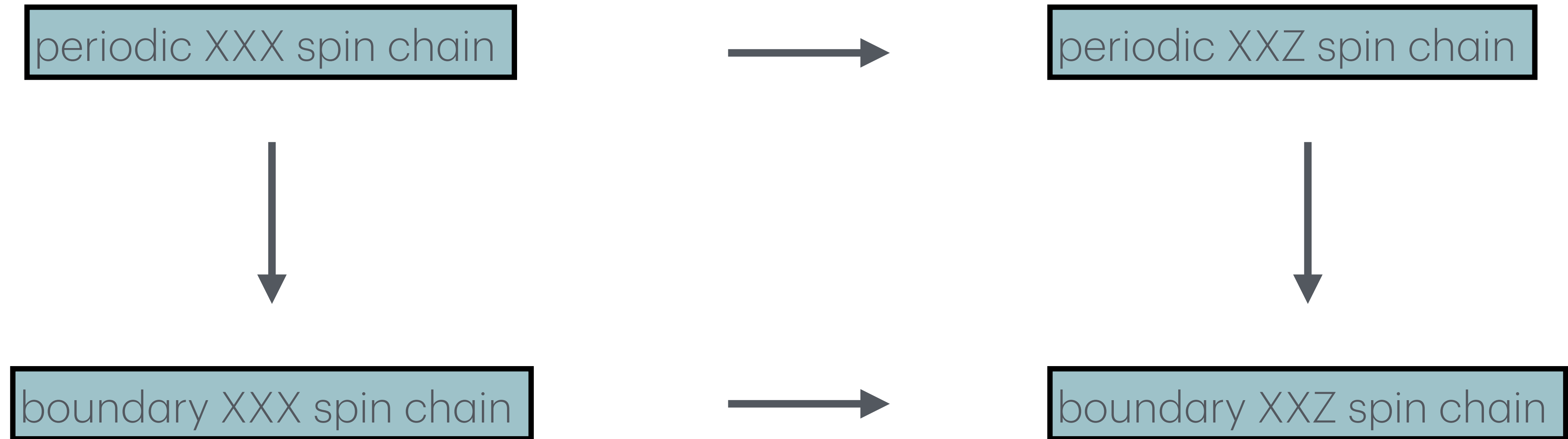
QQ-equations

$$(t^2 - t^{-2}) Q_{a+1,s}(t) Q_{a,s+1}(t) \propto Q_{a+1,s+1}^+(t) Q_{a,s}^-(t) - Q_{a+1,s+1}^-(t) Q_{a,s}^+(t) \quad f^\pm(t) = f(tq^{\pm\frac{1}{2}})$$

$$Q_{0,0}(t) = (t - t^{-1})^{2N} \quad \text{with} \quad Q_{1,0}(t) = Q(t) = \prod_{k=1}^M (tt_k^{-1} - t^{-1}t_k) (tt_k - t^{-1}t_k^{-1})$$

polynomial solutions in  $t$  and  $t^{-1}$ : admissible Bethe roots. proved using  $P(t)$

# QQ-system



Cylinder partition function of the 6-vertex model from algebraic geometry,  
Bajnok, Jacobsen, Jiang, Nepomechie, Zhang [2002.09019](#)

Nepomechie: higher rank [2003.06823](#) boundary with parameters [1912.12702](#)